



## SELF AUDIT REPORT

**CONFIDENTIAL**

Member Candidate or Member Company	
Company Representative	
Company Designated Auditor or; Self-Audit	
Address	
Date	
Report Number	

This audit protocol sets out a list of questions, which the auditor will address with a view to determining compliance with the Rule UNI 11366 for professional and Commercial Diving and Underwater Operations. These questions are structured to cover the following areas in a manner that is consistent with the information presented in the Consensus Standards.

The auditor may, if he feels it appropriate, amplify answers to questions in areas of concern identified during the application documentation review or during the course of the audit.



## AUDIT SHEET

<b>1. General Information</b>	
Company Name	
Address	
Telephone	
Facsimile	
E-Mail	
Website	
Business Scope	
President, Managing Director	
Safety Manager	
QA/QC Manager	
Operations or Diving Manager	
<b>2. Personnel Requirements</b>	
<b>2.1 Personnel Qualifications (Must be on-file at the company location for each Diver)</b> <b>2.2 Existing members are NOT required to submit complete information on these personnel but are required to have complete records on each diver employed or used during the conduct of commercial diving operations.</b>	
Name of Diver	
Divers' Training Course(s) <b>Section 2</b>	
Other Training Course(s)	
Divers' Certification <b>Section 3</b>	
Divers' Log Book <b>Section 5</b>	
Diving Supervisor(s) <b>Section 3 and Section 5</b>	
Life Support Technician(s) <b>Section 3</b>	
<b>2.3 Medical Requirements (Must be on-file at the company location for each Diver).</b> <b>Note: it is not intended that disclosure of doctor to patient information is required but rather that a valid medical examination has been conducted and that examinee as been judged "fit to dive."</b>	
Medical Examination <b>Section 2</b>	
Examining Physician Organization <b>Section 2</b>	
Examination Standard <b>Section 2</b>	
Medical Records	<b>Note: no confidential information is desired.</b>



**Section 2**

**3. Operations Procedures**

<b>3.1 General Operations Procedures</b>	
Safe Practices/Operations Manual <b>Section 5</b>	
Does the Safe Practices / Operations Manual contain copies of Recognized Tables for Decompression and Treatment (including altitude corrections)?	YES _____ NO _____ What tables does your company use? _____
Emergency Aid <b>Section 5</b>	
First Aid <b>Section 5</b>	
Planning and Assessment <b>Section 5</b>	
Job Safety Analysis Procedure <b>Section 5</b>	
Dive Team Briefing <b>Section 5</b>	
Minimum Dive Team Numbers <b>Section 4</b>	
Inspection of Systems, Equipment, and Tools <b>Section 5 and Section 10</b>	
Decompression Chamber <b>Section 6</b>	
Stand by Diver <b>Section 5</b>	
Warning Display <b>Section 5</b>	
Reserve Breathing Supply <b>Section 5</b>	
Communications <b>Section 5</b>	
Company Record of Dives <b>Section 5</b>	
Personal Protective Equipment <b>Section 5</b>	
<b>3.2 Assignments and Responsibilities (Are your procedures consistent with the requirements set forth in the Consensus Standards?)</b>	
Diving Supervisor <b>Section 3 and Section 5</b>	
Diver <b>Section 3</b>	
Stand by Diver <b>Section 3</b>	
Entry-Level Tender/Diver <b>Section 5</b>	
Life Support (Saturation)	



Technician **Section 3**

3.3 Safety Procedure Checklist – **Section 5 and Section 10**

3.4 Equipment Procedure Checklist – **Section 5 and Section 10**

3.5 Specific Operations Procedures (Hand-held power tools; Welding & Burning Equipment; Explosives) – **Section 5**

3.6 Emergency Procedures (Fire; Equipment Failure; Adverse Environmental Conditions; Medical Illness; Treatment of Injuries) – **Section 7**

**4. Equipment and Systems**

4.1 Does the Company have established Check-Off Lists for inspection of equipment and systems intended to be used for commercial diving and underwater operations to ensure functional and operational readiness and Safety for intended use? \_\_\_\_\_

4.2 Identify personnel who perform the initial and periodic examination, testing & Certification of Diving Equipment and system: \_\_\_\_\_

**4.3 Diver's Dress – Section 6**

Item	Description	Numbers	Last inspection or testing date	Comments
1	Dry suits			
2	Hot water suits			
3	Harnesses			
4	Bailout systems			

**4.4 Helmets and Masks – Section 6**

Item	Description	Numbers	Last inspection or testing date	Comments
1	Heavyweight Helmet			
2	Lightweight Helmet			
3	Masks			



Self Audit Report

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4.5 Hoses and Manifolds – Section 6				
Item	Description	Numbers	Last inspection or testing date	Comments
1	Umbilical & Breathing Hoses			Are these properly Marked?
2	Oxygen Hoses			
3	Air Supply Manifold			
4	Mixed Gas Manifold			
5	Other Manifolds [Breathing Gas Control Systems			
4.6 Compressors – Section 6				
Item	Description	Numbers	Last inspection or testing date(s)	Comments
1	Compressors a. Low Pressure b. High Pressure			
2	Volume Tanks			
3	Filters			
4	Air Purity Tests			
4.7 Diver Entry and Egress Systems – Section 6				
Item	Description	Numbers	Last inspection or testing date(s)	Comments
1	Ladder and Stage			
2	Man-rated Lifts			
3	Open Bell (Class 1)			
4.8 Pressure Vessel for Human Occupancy – Section 6				
Item	Description	Numbers	Last inspection or testing date(s)	Comments
1	DDC			
2	Systems			
3				
4				
4.9 Gauges – Section 6				
Item	Description	Numbers	Last calibration date(s)	Comments
1				
2				
3				
4.10 Relief Valves – as appropriate to system installed – Section 6				
Item	Description	Numbers	Last inspection or testing date(s)	Comments
1				
4.11 Timekeeping Devices - Section 6				
Item	Description	Numbers	Last Comparison Against Known Standard	Comments
1				
2				



3			
<b>5. Accident Reporting</b>			
5.1 What Accident Recording Procedure ( <b>Section 7</b> ) does your company use?			
5.2 Record the Number of Lost Time Incidents, Fatalities, or near miss reporting figures for past three (3) years as recorded in company records/insurance information.			
<b>6. Health, Safety and Environmental System Management (Company Process) – Section 10</b>			
6.1 Is a Health, Safety and Environmental Management System in place and how often is this communicated to employees?			
6.2 The method for dealing with diving medical emergencies			
6.3 Last emergency response drill conducted: _____			
6.4 Last safety meeting conducted: _____			
6.5 Last safety audit conducted: _____			
<b>7. QA / QC Management (Company Process) – Section 10</b>			
7.1 Does the Company have an established QA/QC manual: _____			
7.2 ISO registered certifications achieved (if applicable): _____			
7.3 Last QA/QC in house audit date: _____			



### Diving Personnel Information Form

This form should be used by new member applicants and may be used by existing members as an internal record to maintain pertinent information of employees or other personnel used in the conduct of commercial diving or other underwater operations.

Existing members are **NOT** required to submit complete information on these personnel but are required to have complete records on each diver employed or used during the conduct of commercial diving operations.

Name of Diver	
Divers' Training Course(s)	
Other Training Course(s)	
Diver Certification #	
Is a Commercial Divers' Log Book Properly Maintained and periodically checked by the Employer?	
Supervisor Designation (if applicable)	
Medical Examination	
Examining Physician or Organization	
Examination Standard	
Medical Records See Section 2	No confidential information is desired.



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Modello: C:\Documents and Settings\utente\Dati  
applicazioni\Microsoft\Modelli\Normal.dot  
Titolo: Self Audit Report  
Oggetto:  
Autore: Esentato Giovanni  
Parole chiave:  
Commenti:  
Data creazione: 12/10/2011 20.39.00  
Numero revisione: 2  
Data ultimo salvataggio: 12/10/2011 21.30.00  
Autore ultimo salvataggio: utente  
Tempo totale modifica 54 minuti  
Data ultima stampa: 12/10/2011 21.34.00  
Come da ultima stampa completa  
Numero pagine: 8  
Numero parole: 6 (circa)  
Numero caratteri: 39 (circa)